Corporate Retirement Plans

LEVEL FOUR® Advisory Services
A DIVISION OF CARR, RIGGS & INGRAM CAPITAL, LLC

Level Four® Advisory Services, LLC, an SEC Registered Investment Advisory Firm currently advises $2.2B in AUM

Affiliate of LPL Financial Retirement Partners, the largest retirement plan consulting firm in the U.S., serving over 42,000 plans with more than 3,000,000 participants

Top 100 Retirement Plan Advisor in 2017 *(Planadviser Magazine)*

**Selected based solely on quantitative factors, including plan assets and number of plans, as reported directly by nominees.*

We recognize the complexities of retirement planning and can help you simplify your plan by developing a distribution plan that will provide the retirement **lifestyle you desire.**

ADMINISTRATIVE / VENDOR / SERVICE PROVIDER SUPPORT

- Plan Assessment
- Periodic fee benchmarking
- Review of plan design features
- Compliance testing review and suggestions

INVESTMENT SUPPORT SERVICES

- Default Investment Advice
  - Level Four® Advisory Services will assist Client with the selection of one or more qualified default investment alternative(s) (“QDIA”), for participants who are automatically enrolled in the plan or who otherwise fail to make an investment election.
- Investment policy statement preparation
- Investment due diligence

FIDUCIARY SUPPORT SERVICES

- 3(38) Investment manager service provider
  - Level Four® Advisory Services acts as a discretionary investment manager
- 3(21) Co-fiduciary service provider
  - Level Four® Advisory Services provides plan investment recommendations to plan trustee(s)

PARTICIPANT SERVICES

- Financial education
- Plan enrollment
- Individualized participant advice
  - Level Four® Advisory Services can provide one-on-one advice to plan participants customized to the circumstances disclosed by each individual participant

For Plan Use Only - Not for Use with Participants or the General Public.

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